UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

4372

7590

08/11/2008

ARENT FOX LLP 1050 CONNECTICUT AVENUE, N.W. SUITE 400 WASHINGTON, DC 20036 EXAMINER

HAQ, NAEEM U

ART UNIT PAPER NUMBER

3625 DATE MAILED: 08/11/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/511.432	10/22/2004	Masaaki Maikuma	108419-00065	8394

TITLE OF INVENTION: PRODUCT ORDERING DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	11/12/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ar in m

appropriate. All further indicated unless correct maintenance fee notifica	correspondence includir ed below or directed oth	ng the Patent, advance of herwise in Block 1, by (orders and notification of r a) specifying a new corres	naintenance fees v spondence address;	vill be and/or	mailed to the current (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for	
	ENCE ADDRESS (Note: Use BI	Feet	s) Transmittal, Th	is certif	icate cannot be used for	domestic mailings of the or any other accompanying t or formal drawing, must		
4372	7590 08/11	Have			_			
SUITE 400	ΓΙCUT AVENUE, Ι	I he Stat addı tran	reby certify that thes Postal Service v	is Fee(of Mailing or Transr s) Transmittal is being ficient postage for first ISSUE FEE address 1) 273-2885, on the da	deposited with the United t class mail in an envelope above, or being facsimile the indicated below.		
WASHINGTON	N, DC 20036						(Depositor's name)	
							(Signature)	
							(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.	
10/511,432	10/22/2004	•	Masaaki Maikuma			108419-00065	8394	
TITLE OF INVENTION	: PRODUCT ORDERIN	NG DEVICE						
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E EEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1440	\$300	\$0	5155	\$1740	11/12/2008	
EXAM		ART UNIT	CLASS-SUBCLASS	1		\$1710	11/12/2000	
HAO, NA		3625	705-026000	J				
1. Change of corresponde			2. For printing on the p	atent front page, lis	st			
CFR 1.363).		,	(1) the names of up to	3 registered pater		neys 1		
	ondence address (or Cha B/122) attached.		or agents OR, alternatively, (2) the name of a single firm (having as a member a 2					
☐ "Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.	ication (or "Fee Address 2 or more recent) attach	" Indication form ned. Use of a Customer	registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or type	pe)				
PLEASE NOTE: Unl	less an assignee is ident h in 37 CFR 3.11. Com	ified below, no assignee	data will appear on the p T a substitute for filing an	atent. If an assign	ee is ic	lentified below, the do	cument has been filed for	
(A) NAME OF ASSIG	•	prediction of this form is the	(B) RESIDENCE: (CITY	· ·	COUNT	RY)		
Please check the appropr	iate assignee category or	categories (will not be p	rinted on the patent): \Box	Individual 🖵 Co	orporati	on or other private gro	up entity 🚨 Government	
4a. The following fee(s)	are submitted:	4	b. Payment of Fee(s): (Ple 2	se first reapply a	1y prev	iously paid issue fee s	hown above)	
☐ Issue Fee			☐ A check is enclosed.					
☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).					
			overpayment, to Depo	sit Account Number	er	(enclose ar	extra copy of this form).	
5. Change in Entity Sta a. Applicant claim	tus (from status indicate is SMALL ENTITY stati	,	☐ b. Applicant is no lon	ger claiming SMAl	LL EN	ΓΙΤΥ status. See 37 CF	R 1.27(g)(2).	
**	d Publication Fee (if req	uired) will not be accepte	ed from anyone other than t				e assignee or other party in	
interest as snown by the	records of the United Sta	ues Patent and Trademari	Conice.					
Authorized Signature				Date				
Typed or printed name				Registration N	lo			
This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	tiality is governed by 35 d application form to the ions for reducing this but irginia 22313-1450. DC	FR 1.311. The informati U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the O NOT SEND FEES OR	on is required to obtain or r 1.14. This collection is est y depending upon the indiv the Chief Information Office COMPLETED FORMS TO	etain a benefit by t imated to take 12 ridual case. Any co er, U.S. Patent and D THIS ADDRESS	he publ minutes omment Traden S. SENI	ic which is to file (and to complete, including s on the amount of tin nark Office, U.S. Depa D TO: Commissioner f	by the USPTO to process) g gathering, preparing, and ne you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450,	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FILING DATE FIRST NAMED INVENTOR		CONFIRMATION NO.
10/511,432	10/22/2004	Masaaki Maikuma	108419-00065	8394
4372 7590 08/11/2008			EXAMINER	
ARENT FOX LI	P	HAQ, NA	AEEM U	
1050 CONNECTICUT AVENUE, N.W.			ART UNIT	PAPER NUMBER
SUITE 400 WASHINGTON, I	DC 20036		3625 DATE MAILED: 08/11/200	8

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 558 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 558 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
Notice of Allowability	10/511,432 Examiner	MAIKUMA ET AL. Art Unit	
•			
	Naeem Haq	3625	
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate comm IGHTS . This application is	in this application. If not included nunication will be mailed in due course. THIS	ive
1. This communication is responsive to 4/14/2008.			
2. ☑ The allowed claim(s) is/are <u>1-8</u> .			
3. Acknowledgment is made of a claim for foreign priority un a) All b) ☐ Some* c) ☐ None of the: 1. Certified copies of the priority documents have	e been received.		
2. Certified copies of the priority documents have	• • • • • • • • • • • • • • • • • • • •		
Copies of the certified copies of the priority do	cuments have been receive	ed in this national stage application from the	
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	MENT of this application.		
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			
5. \square CORRECTED DRAWINGS (as "replacement sheets") must	st be submitted.		
(a) \square including changes required by the Notice of Draftspers	son's Patent Drawing Revie	w (PTO-948) attached	
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date			
(b) ☐ including changes required by the attached Examiner' Paper No./Mail Date	s Amendment / Comment o	or in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5 D Notice of I	nformal Patent Application	
Notice of Neterences Great (FTG-692) Notice of Draftperson's Patent Drawing Review (PTG-948)		Summary (PTO-413),	
3. ☐ Information Disclosure Statements (PTO/SB/08),	Paper No	./Mail Date s Amendment/Comment	
Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit	8. 🛛 Examiner'	s Statement of Reasons for Allowance	
of Biological Material	9.		
	/Naeem Haq/ Primary Examine	r, Art Unit 3625	

DETAILED ACTION

Allowable Subject Matter

Claims 1-8 are allowed.

The following is a statement of reasons for the indication of allowable subject matter: Statement of allowance is in reference to independent claim 1. All other claims are dependent on claim 1.

The present application is directed to a product ordering system that sets an estimated sales quantity of a product, sets an upper limit value of an order quantity, calculates a cumulative order quantity, and limits orders for the product in excess of the limit value.

Independent claim 1 recites the uniquely patentable feature that the upper limit value for each dealer is set using a predetermined coefficient that is based on the type of a product and at least one selected from the group consisting of a suppliable quantity of the products and a delivery time of the product.

Discussion of the most relevant prior art:

The following references have been identified as the most relevant prior art to the claimed invention.

(i) <u>Dialog File "Automating Distribution" ("Dialog")</u>: Dialog generally discloses a distribution software package that supports receipt of order, order processing, production, scheduling, dispatch and invoicing. However, Dialog fails to teach or

suggest that an upper limit value for each dealer is set using a predetermined coefficient that is based on the type of a product and at least one selected from the group consisting of a suppliable quantity of the products and a delivery time of the product.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Naeem Haq whose telephone number is (571)-272-6758. The examiner can normally be reached on M-F 8:00am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey A. Smith can be reached on (571)-272-6763. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/511,432 Page 4

Art Unit: 3625

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Naeem Haq/ Primary Examiner, Art Unit 3625

July 20, 2008